

α -Helical Peptide Nucleic Acids (α PNAs): A New Paradigm for DNA-Binding Molecules

Philip Garner,* Subhakar Dey, and Yumei Huang

Department of Chemistry
Case Western Reserve University
Cleveland, Ohio 44106-7078

Received September 7, 1999

α -Helical peptide nucleic acids (α PNAs) are novel synthetic constructs that merge the α -helical peptide secondary structure (a structural feature found in many DNA-binding proteins) with established nucleobase molecular recognition patterns.¹ This is accomplished by attaching the nucleobases to regularly spaced serine residues so that they can form Watson–Crick base pairs with complementary single-stranded nucleic acid targets upon α -helix formation (Scheme 1). The main advantage of this α PNA design is its potential for modification of the peptide scaffold to optimize specific physical and/or chemical properties. We now report that α PNA modules with as few as five nucleobases bind with high affinity to complementary DNA strands in a sequence-specific manner. In contrast to Nielsen's prototype polyamide nucleic acids,² α PNAs exhibit excellent water solubility (up to 18 mM) with no evidence of self-aggregation.

To overcome the slow kinetics of annealing observed with our prototype α PNAs (see ref 1, Supporting Information), backbones b2 and b2' were designed in which the Asp and Glu residues were replaced with Lys.³ This modification resulted in α PNAs having a net positive charge at neutral pH and was expected to enhance nonspecific binding to negatively charged DNA prior to sequence-specific base-pairing.⁴ The resulting T₅(b2) and T₅(b2') disulfide dimers both exhibited well-defined melting curves in TE-buffer (10 mM Tris-HCl, 1 mM EDTA disodium salt, pH 7.0) after only an overnight incubation period (Table 1, entries 1 and 2). Even the T₅(b2) module itself hybridized with d(A₁₀) (entry 3)—albeit weakly as expected for a complex held together by only A•T base pairs. Backbone b2 α PNAs that contained cytosine resulted in remarkably stable α PNA•DNA complexes. For example, C₅(b2)•d(TA₃G₅A₃T)⁵ exhibited a *T*_m of 54 °C in TE-buffer and no hysteresis was observed in the cooling curve. This *T*_m is 35 °C higher than the corresponding DNA•DNA duplex (in TE-buffer + 150 mM NaCl)! Indeed, faster and stronger hybridization was observed for all *matched* cytosine-containing complexes formed with backbone b2 α PNAs and complementary ssDNAs with equilibrium dissociation constants in the micromolar range.⁶ Added salt appears to disrupt favorable charge–charge interactions between cationic α PNAs and anionic DNA (see Supporting Information). Incorporation of mismatches into the α PNA (entries 4 and 7) or DNA (entries 6 and 7) strands resulted

Scheme 1. α -Helical Peptide Nucleic Acid (α PNA) Design Concept: Proposed Mode of Binding between an α PNA Module and Its Single-Stranded DNA Complement

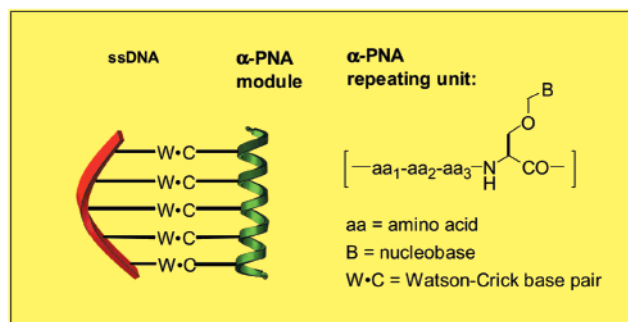


Table 1. UV Melting Data for α PNA•DNA Complexes

entry	α PNA (N→C)	DNA sequence (5'→3')	<i>T</i> _m (°C)
1	T ₅ (b2)-dimer	d(A ₁₀)	46, 54 ^a
2	T ₅ (b2')-dimer	d(A ₁₀)	46, 57 ^a
3	T ₅ (b2)	d(A ₁₀)	17
4	C ₅ (b2)	d(TA ₃ G ₅ A ₃ T)	54
5	C ₅ (b2)	d(G ₅)	35
6	CCTCC(b2)	d(A ₃ GGAGGA ₃)	49
7	CCTCC(b2)	d(TA ₃ G ₅ A ₃ T)	38
8	CTCCT(b2)	d(A ₃ AGGAGA ₃)	32
9	CTCCT(b2)	d(A ₃ GAGGAA ₃)	37

^a Two-step melting was observed.

in a marked lowering of *T*_m for the complexes, a result which is consistent with sequence-specific base recognition. Finally, the parallel orientation (N-terminus of α PNA adjacent to the 5'-end of DNA or N/5') resulted in a higher *T*_m when compared to the antiparallel (N/3') alternative (entries 8 and 9).

To investigate the stability of the α PNA•DNA hybrids as well as their binding stoichiometry and structure, both gel-shift mobility⁷ and circular dichroism (CD) titration⁸ studies were performed. For the complex between CCTCC(b2) and d(A₃GGAGGA₃), a single new slower-migrating species corresponding to CCTCC(b2)•d(A₃GGAGGA₃) was detected in the gel shift assay (Figure 1A). The intensity of the d(A₃GGAGGA₃) band steadily decreased with increasing amounts of CCTCC(b2) until there was no unbound ssDNA at a α PNA/DNA ratio of 1.5. The formation of a triplex between two CCTCC(b2)s (net charge +6 each) and d(A₃GGAGGA₃) (net charge −10) is precluded on the grounds that the resultant ternary complex would have a net positive charge and therefore be expected to migrate toward the negative electrode. Evidence for duplex formation was also obtained from experiments on CTCCT(b2) + d(A₃GAGGAA₃) and CTCCT(b2) + d(A₃AGGAGA₃) (see Supporting Information). These gels also confirmed that the parallel (N/5') orientation resulted in stronger binding. No binding was observed between an "abasic" α PNA(b2) peptide Ac-Cys^{AcM}-Lys-(Ser-Ala₂-Lys)₄-Ser-Gly-Lys-NH₂ and d(TA₃G₅A₃T), which underscores the role that nucleobases play in α PNA molecular recognition. Interestingly, the PAGE experiment with T₅(b2)-dimer (net charge +12) and d(C₃T(TC₂)₂A₁₀C(TC₂)₃) (net charge −29) produced an additional slower-moving species at the expense of the initially formed species at α PNA/DNA ratios greater than 1 (Figure 1B). This result is consistent with the formation of a ternary complex (note 2-step melting of the dimers). Further support for the binding stoichiometries of

(7) Patel, D. *Gel Electrophoresis: Essential Data*; Wiley: New York, 1994; Ausubel, F. M. *Current Protocols in Molecular Biology*; Wiley: New York, 1987; Unit 12.2.

(8) Gray, D. M.; Hung, S.-H.; Johnson, K. H. *Methods Enzymol.* **1995**, *246*, 19.

(1) Garner, P.; Dey, S.; Huang, Y.; Zhang, X. *Org. Lett.* **1999**, *1*, 403.

(2) Recent PNA reviews: Uhlmann, E.; Peyman, A.; Breipohl, G.; Will, D. W. *Angew. Chem., Int. Ed.* **1998**, *37*, 2796; Dueholm, K. L.; Nielsen, P. E. *New J. Chem.* **1997**, *21*, 19; Eriksson, M.; Nielsen, P. E. *Quart. Rev. Biophys.* **1996**, *29*, 369.

(3) α PNA backbone b2 = Ac-Cys^{AcM}-Lys-(Ser^B-Ala₂-Lys)₄-Ser^B-Gly-Lys-NH₂ and b2' = Ac-Lys₂-(Ser^B-Ala₂-Lys)₄-Ser^B-Gly-Cys^{AcM}-NH₂. Amino acid abbreviations: Ala = L-alanine, Cys^{AcM} = S-acetamidomethyl-L-cysteine, Gly = glycine, Lys = L-lysine, Ser = L-serine, Ser^I = 1-[(Ser)methyl]thymine, Ser^C = 1-[(Ser)methyl]cytosine. Nucleobase abbreviations: A = adenine, C = cytosine, G = guanine, T = thymine, B = generic nucleobase.

(4) Cf. Corey, D. R. *J. Am. Chem. Soc.* **1995**, *117*, 9373.

(5) Non-complementary flanking nucleotides were incorporated into the DNA target to facilitate PAGE analysis of α PNA•DNA complexes. Flanking bases also resulted in stronger binding, greater hypochromicity, and less hysteresis. "Dangling" nucleobases are known to have an analogous effect on DNA duplex stability: Senior, M.; Jones, R. A.; Breslauer, K. J. *Biochemistry* **1988**, *27*, 3879.

(6) Marky, L. A.; Breslauer, K. J. *Biopolymers* **1987**, *26*, 1601.

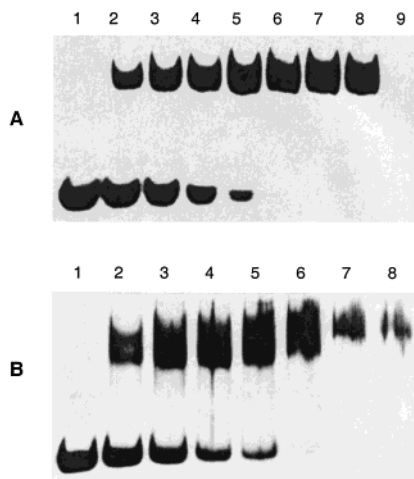


Figure 1. Binding of (A) CCTCC(b2) to $d(A_3GGAGGA_3)$ and (B) $T_5(b2)$ -dimer to $d(C_3T(TC_2)_2A_{10}C(TC_2)_3)$. Solutions were made up by combining DNA ($40 \mu\text{M}$) with varying amounts of αPNA in $7.5 \mu\text{L}$ of TE-buffer followed by heating at 80°C for 5 min, then cooling and storage at 4°C overnight. Before electrophoresis, $2.5 \mu\text{L}$ of loading buffer (0.01% xylene cyanol FF, 0.01% bromophenol blue solution, 60% (w/v) glycerol in running buffer) was added to the sample and mixed, and $3.5 \mu\text{L}$ of the sample was loaded onto the gel. Free and αPNA bound DNA were resolved by nondenaturing 14% polyacrylamide gel electrophoresis (PAGE) in 44 mM Tris-borate, pH 7.2 for 1 h at 14 V cm^{-1} at 4°C . The ratios of αPNA to DNA in (A) for lanes 1–8 are 0/1, 1/2, 3/4, 1/1, 5/4, 3/2, 7/4, and 2/1. Lane 9 represents only αPNA . The ratios in (B) from lanes 1–8 are 0/1, 1/2, 1/1, 3/2, 2/1, 5/2, 3/1, and 4/1. Gels were developed using the PlusOne DNA silver staining kit (Pharmacia Biotech).

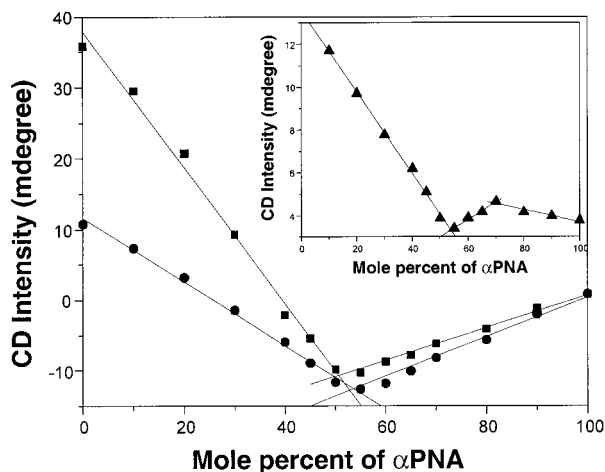


Figure 2. Job plots for CD intensities of $C_5(b2) + d(TA_3G_5A_3T)$ (at 258 nm, squares), $CCTCC(b2) + d(A_3GGAGGA_3)$ (at 258 nm, circles), and $T_5(b2)$ -dimer + $d(A_{10})$ (at 261 nm, triangles). Spectra were recorded at 5°C using a JASCO J-600 CD spectropolarimeter. Samples having a total concentration ($[\alpha\text{PNA}] + [\text{DNA}]$) of $12 \mu\text{M}$ were made up in doubly deionized water and placed in a stoppered optical quartz cell (1 cm path length). Dry air was purged through the sample compartment. Each data point represents the average of eight (baseline corrected) points.

the $\alpha\text{PNA}\cdot\text{DNA}$ complexes comes from CD titration studies (Figure 2) on $C_5(b2) + d(TA_3G_5A_3T)$ and $CCTCC(b2) + d(A_3-$

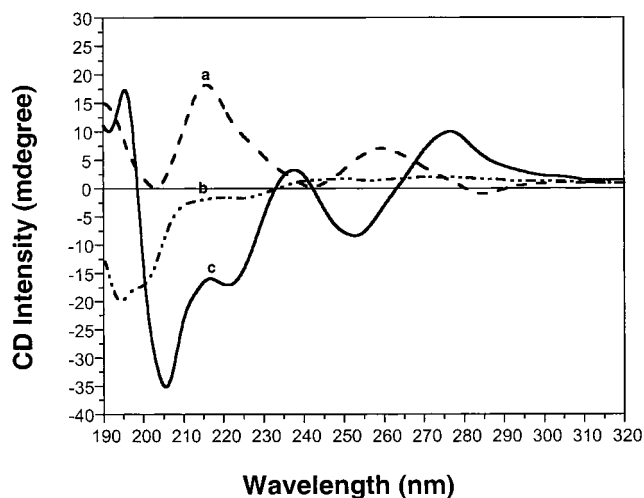


Figure 3. Comparative CD spectra of (a) DNA $d(A_3GGAGGA_3)$ alone, (b) $\alpha\text{PNA CCTCC(b2)}$ alone, and (c) a 1:1 mixture of $d(A_3GGAGGA_3) + CCTCC(b2)$ ($6 \mu\text{M}$ each) in distilled H_2O . Spectra were recorded as described in Figure 2.

$GGAGGA_3$) which both showed intensity minima at 50 mol % αPNA as expected for a 1:1 binding stoichiometry. In addition to a duplex, $T_5(b2)$ -dimer + $d(A_{10})$ also showed evidence of a 2:1 complex (Figure 3, inset). This is in line with the PAGE experiment with $T_5(b2)$ -dimer and $d(C_3T(TC_2)_2A_{10}C(TC_2)_3)$. Finally, the CD spectrum (Figure 3)⁹ of a solution containing equimolar amounts of $CCTCC(b2)$ and $d(A_3GGAGGA_3)$ shows the characteristic peptide CD signatures of an α -helix (minima at 220 and 206 nm, maximum at 196 nm).¹⁰ The maximum at 280 and minimum at 255 nm are suggestive of an ordered right-handed DNA helix. Since control CD spectra show that the αPNA is disordered and the ssDNA possesses a different secondary structure, it appears that they are each acting as templates for hybridization. Analogous behavior has been noted previously for peptides corresponding to the basic regions of DNA-binding proteins.¹¹

Acknowledgment. This work was supported by a grant from the National Institutes of Health (GM54796). Special thanks to Pieter DeHaseth for reading the manuscript and providing helpful comments. We also thank Tony Berdis, Irene Lee, and Gianina Panaghie for technical assistance and advice.

Supporting Information Available: Experimental details for synthesis, purification, and characterization of all αPNAs , purification protocols for DNAs, thermal denaturation profiles for $\alpha\text{PNA}\cdot\text{DNA}$ complexes, and plot showing the effect of added salt (PDF). This material is available free of charge via the Internet at <http://pubs.acs.org>.

JA993216D

(9) *Circular Dichroism and the Conformational Analysis of Biomolecules*; Fasman, G. D., Ed.; Plenum Press: New York, 1996.

(10) The relative intensities of the composite CD bands in the "peptide region" may be due to additional contributions of bound DNA, the αPNA nucleobase side chains, and/or an alternate PNA helix structure. Distinction between these possibilities will have to await the results of further structural studies on $\text{PNA}\cdot\text{DNA}$ complexes.

(11) Patel, L.; Abate, C.; Curran, T. *Nature (London)* **1990**, *347*, 572; Weiss, M. A.; Ilenberger, T.; Wobbe, C. R.; Lee, J. P.; Harrison, S. C.; Struhl, K. *Ibid.* p 575; Talanian, R. V.; McKnight, C. J.; Kim, P. S. *Science* **1990**, *249*, 769.