

# **ARTICLE**

# Neurophysiological signature of gamma-hydroxybutyrate augmented sleep in male healthy volunteers may reflect biomimetic sleep enhancement: a randomized controlled trial

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Gamma-hydroxybutyrate (GHB) is an endogenous GHB/GABA<sub>B</sub> receptor agonist, which has demonstrated potency in consolidating sleep and reducing excessive daytime sleepiness in narcolepsy. Little is known whether GHB's efficacy reflects the promotion of physiological sleep mechanisms and no study has investigated its sleep consolidating effects under low sleep pressure. GHB (50 mg/kg p.o.) and placebo were administered in 20 young male volunteers at 2:30 a.m., the time when GHB is typically given in narcolepsy, in a randomized, double-blinded, crossover manner. Drug effects on sleep architecture and electroencephalographic (EEG) sleep spectra were analyzed. In addition, current source density (CSD) analysis was employed to identify the effects of GHB on the brain electrical sources of neuronal oscillations. Moreover, lagged-phase synchronization (LPS) analysis was applied to quantify the functional connectivity among sleep-relevant brain regions. GHB prolonged slow-wave sleep (stage N3) at the cost of rapid eye movement (REM) sleep. Furthermore, it enhanced delta-theta (0.5-8 Hz) activity in NREM and REM sleep, while reducing activity in the spindle frequency range (13-15 Hz) in sleep stage N2. The increase in delta power predominated in medial prefrontal cortex, parahippocampal and fusiform gyri, and posterior cingulate cortex. Theta power was particularly increased in the prefrontal cortex and both temporal poles. Moreover, the brain areas that showed increased theta power after GHB also exhibited increased laggedphase synchronization among each other. Our study in healthy men revealed distinct similarities between GHB-augmented sleep and physiologically augmented sleep as seen in recovery sleep after prolonged wakefulness. The promotion of the sleep neurophysiological mechanisms by GHB may thus provide a rationale for GHB-induced sleep and waking quality in neuropsychiatric disorders beyond narcolepsy.

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### INTRODUCTION

Sleep–wake disturbances are highly prevalent in society, especially among patients with neurological and psychiatric disorders [1-3]. The restoration and promotion of physiological sleep represents a core aim in psychopharmacology. Benzodiazepines and z-substances are positive allosteric GABA<sub>A</sub> receptor modulators, which are frequently used to manage sleep disturbances [4, 5]. Yet, their clinical efficacy is often insufficient and these compounds do not promote physiological sleep [6, 7]. Furthermore, these drugs often cause neurocognitive deficits [4, 8]. Therapeutic alternatives are, thus, urgently needed.

Gamma-hydroxybutyrate (GHB or sodium oxybate), an endogenous GHB/GABA<sub>B</sub> receptor agonist, has recently gained interest as a potential pharmacological agent to promote physiological sleep (for review, see [9]). When administered at bedtime, GHB enhances sleep efficiency and electroencephalographic (EEG) slow waves in non-rapid eye movement (NREM) sleep, and reduces pathological sleepiness in patients suffering from narcolepsy, Parkinson's disease, and fibromyalqia [10-15]. Given the

hypothesized importance of EEG slow waves in NREM sleep for cognition and emotions [16, 17], GHB's beneficial effects have primarily been assigned to its ability to promote slow-wave sleep and to produce EEG slow oscillations during sleep [18]. Nevertheless, GHB also reduces cataplexies and hypnagogic hallucinations in narcolepsy, which may indicate that it normalizes REM sleep e.g., by reducing the number of awakenings during REM sleep episodes [19].

Despite the clinical observations summarized above, it is currently unclear whether GHB promotes physiological sleep mechanisms and enhances homeostatically regulated sleep intensity. Sleep homeostasis refers to the general biological principle that sleep is more intense than baseline sleep when recovering from prolonged waking or sleep restriction [20]. Sleep intensity can be reliably quantified in the EEG power spectrum during NREM sleep [21]. More specifically, it is well established that EEG delta (~0.5–4.5 Hz) and theta (~6–9 Hz) activity in NREM and REM sleep are enhanced over frontal brain sites after extended wakefulness, whereas activity in the frequency range

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of sleep spindles (~12–15 Hz) in NREM sleep is reduced [22, 23]. Moreover, the duration of stage N2 and REM sleep are reduced at the cost of stage N3 sleep [24, 25]. The reverse pattern is observed in the second half of a sleep episode, reflecting the declining trend of sleep propensity and sleep intensity throughout the night.

Because of its short half-lifetime, GHB in clinical practice is typically administered in two doses: one dose at bedtime and one dose in the middle of the sleep episode. In most previous studies in healthy volunteers, GHB was administered at the beginning of the night when sleep intensity is high [14, 15]. Here we administered a therapeutic dose (50 mg/kg) of GHB to 20 healthy young men only once in the middle of the night and employed complementary neurophysiological imaging methods to test the hypothesis that the drug-induced effects on sleep and the sleep EEG mimic physiologically enhanced sleep intensity. Thus, we elucidated in detail the neurophysiological signature of GHB-augmented sleep, by analyzing the drug effects on sleep architecture, sleep EEG spectra, brain electrical sources, and functional connectivity among sleep relevant brain regions. To evaluate the similarities and differences of GHBaugmented sleep and naturally enhanced sleep, we compared the present results with the neurophysiological changes that have been reported by us and others in recovery sleep after acute sleep deprivation.

#### **METHODS**

#### Permission

The study was approved by SwissMedic and Ethics Committee of the Canton of Zurich and registered at ClinicalTrials.gov (NCT02342366). All participants provided written informed consent according to the declaration of Helsinki.

## Study design

The study followed a randomized, placebo-controlled, balanced, double-blind, crossover design. All study participants completed a screening night in the sleep laboratory, to exclude sleep-related disorders such as sleep apnea (>5 apneas/hypopneas per hour of sleep), restless legs syndrome (>5 periodic limb movements per hour of sleep), occurrence of sleep onset REM sleep episodes, and insufficient sleep efficiency (<80%) before definite enrollment into the study. The study protocol consisted of two randomized, experimental nights (GHB and placebo) separated by a washout phase of 7 days. Each experimental night was preceded by an adaptation night for habituation to the laboratory environment.

## **Participants**

Twenty healthy, male volunteers (mean age:  $25.8 \pm 5.1$  years) completed the study. Following criteria were required for inclusion: (i) male sex (to avoid unknown pregnancy and a potential impact of menstrual cycle on primary outcome variables); (ii) age within the range of 18-40 years; (iii) absence of somatic or psychiatric disorders; (iv) no first-degree relatives with a history of heritable psychiatric disorders such as schizophrenia, bipolar disorder, autism, and attention deficit hyperactivity disorder; (v) non-smoker; (vi) no history of regular drug use (lifetime use <5 occasions of each drug, except occasional cannabis use). No participant reported previous experiences with GHB in their life. Participants had to refrain from illegal drugs for 2 weeks and from caffeine for 1 week before the first experimental night and throughout the study. No alcohol was allowed 24 h before each study night. Participants were instructed to keep a regular sleep-wake rhythm with 8 h in bed from 23:00 to 07:00 during 1 week before the first experimental night and in the week between the two experimental nights. To ensure compliance with

requirement, participants wore an actimeter on the non-dominant arm and kept a sleep-wake diary. Both actigraphic recordings and sleep-wake diaries were qualitatively inspected by an experienced member of the study team before the experimental nights, to ensure adherence of the subject to the imposed sleep-wake schedule. No subject had to be excluded because of violation of the instructed sleep schedule. Moreover, sleep efficiency in all adaptation nights preceding each experimental night was above 80%.

All participants received a monetary compensation for study participation.

#### Urine immunoassay

On each test night, urine samples were taken upon arrival in the laboratory, to ensure that all participants abstained from illegal drug use (Drug-Screen Multi 12-AE, Nal von Minden GmbH, Regensburg, DE).

#### Drug administration

Study volunteers sleeping in the sleep laboratory were awoken at 2:30 a.m. to receive 50 mg/kg of GHB (Xyrem®; Cantonal Pharmacy, Zurich, Switzerland) dissolved in 2 dl of orange juice or placebo, matched in appearance and taste. The administered dose represents the maximal therapeutic starting dose in narcolepsy. After GHB/placebo intake, volunteers where allowed to immediately return to sleep.

## EEG data acquisition

Sleep was quantified by all-night polysomnography with Rembrandt® Datalab (Version 8; Embla Systems, Planegg, Germany) from 23:00 (lights-off) to 07:00 (lights-on). The recording setup consisted of 19 EEG electrodes (Fp1, Fp2, F3, F4, F7, F8, Fz, T3, T4, T5, T6, C3, C4, Cz, P3, P4, Pz, O1, O2) according to the 10–20 system [26], a bipolar electrooculogram, a submental electromyogram (EMG), and an electrocardiogram (ECG). The individual EEG electrode coordinates were marked by cutting the subjects' hair at the electrode position, to ensure that the electrodes were placed at the very same place in both experimental conditions.

All data were recorded with dedicated polygraphic amplifiers (Artisan®, Micromed, Mogliano Veneto, Italy). As in previous studies, the analog signals were conditioned by a high-pass filter (EEG: —3 dB at 0.15 Hz; EMG: 10 Hz; ECG: 1 Hz) and an antialiazing low-pass filter (—3 dB at 67.2 Hz), digitized and stored with a resolution of 256 Hz (sampling frequency of 256 Hz) [27, 28].

# Sleep stage scoring

For sleep scoring, the C3-A2 derivation was used. Sleep variables were visually scored based on 30 s epochs according to the criteria of the American Academy of Sleep Medicine [29]. Movement- and arousal-related artifacts were visually identified and excluded from analyses. The following sleep variables were computed: (i) duration of sleep stages (N1, N2, N3, and REM sleep, and wakefulness); (ii) duration of NREM sleep (time spent in stages N2 and N3); (iii) total sleep time (TST; time spent in N1, N2, N3, and REM sleep); (iv) time in bed (TIB; time between lights-off and lights-on); and (v) sleep efficiency index (SEI = [TST/TIB] × 100). Sleep variables were computed for the first and second halves of the sleep episodes, as well as for the entire night.

# Spectral analysis

Power spectra were computed by a Fast-Fourier transform based on 4 s epochs (Hanning window, linear detrending, 50% overlap), resulting in a frequency resolution of 0.25 Hz. Spectra between 0.5–20 Hz were investigated. The average spectral power was computed across all epochs of a given sleep stage (N2, N3, NREM, REM), separately for the entire night, the first half, and second half of the night.

The power spectra were computed for the first and second halves of the sleep episodes, as well as for the entire night, separately for NREM sleep, stages N2 and N3, and REM sleep.

#### Current source density analysis

To investigate how GHB affected physiological EEG oscillations in NREM sleep, 10 min segments around the maximum of slow-wave activity (SWA) values in the first NREM sleep episode following GHB/placebo administration were extracted for further analyses. All used segments were located in the peak phase of drug action  $(t_{45}-t_{75})$  [30]. The procedure is depicted in Fig. 1, indicating the time point of GHB (red triangle) and placebo (black triangle) administration, and segment extraction for current source density (CSD) analysis (marked with a green arrow) in a representative individual. Extracted segments were preprocessed using Brain Vision Analyzer 2 software (Brain Products GmbH). First, EEG data were re-referenced to the average of all scalp electrodes. Second, a bandpass filter from 0.5 to 40 Hz was applied to the EEG data, to attenuate channel drifts and satisfy the assumption of stationarity necessary for computing independent component analysis. Third, movement-related artifacts were visually identified and excluded. Fourth, the preprocessed segment was segmented into 12 s segments and exported for further analysis. The minimal segment number used for CSD analyses was 45. Two subjects had to be excluded from CSD analysis because of insufficient data quality. The CSD was analyzed using exact low-resolution brain electromagnetic topography (eLORETA, http://www.uzh.ch/keyinst/ loreta.htm), a widely used mathematical approach to estimate the sources of electrical currents measured with scalp EEG. eLORETA computes a three-dimensional EEG CSD map by applying a three-spherical shell model restricting the solution space to the gray matter and hippocampus, resulting in 6239 different voxels of  $5 \times 5 \times 5$  mm each. The anatomical brain model used for the computation of intracerebral CSD values was registered on a digitized average magnetic resonance imaging (MRI) brain of the Talairach and Tournoux atlas (Brain Imaging Centre, Montreal Neurological Institute). To compute spectral density (µA/mm<sup>2</sup>), the signal was split into delta (0.5–4.5 Hz), theta (4.5–8 Hz), alpha (8–12 Hz), sigma (12–16 Hz), and beta (16–20 Hz) frequency bands.

## Lagged phase synchronization analysis

The lagged-phase synchronization (LPS) analysis implemented in the eLORETA software was used to characterize differences in functional connectivity among brain areas in GHB-augmented sleep when compared with placebo. Phase synchronization of neuronal oscillations has been repeatedly shown to reflect the coordination of activity among distinct brain regions [31, 32]. Quantification of LPS thus offers a valuable tool to investigate physiological processes underlying functional connectivity between distinct neuronal assemblies [33].

This method has undergone cross-modal validation from both diffusion tensor imaging [34] and functional MRI [35]. LPS allows calculating the functional connectivity among regions of interest (ROI) for all defined frequency bands. Six brain regions, which were significantly affected by GHB (as revealed by the CSD analysis), were chosen for ROI analyses: anterior cingulate cortex (ACC; X = 1, Y = 29, Z = 14), posterior cingulate cortex (PCC; X = 1, Y = -51, Z = 8), left dorsolateral prefrontal cortex (IdIPFC; X = -39, Y = 40, Z = 28), right dorsolateral prefrontal cortex (rdIPFC; X = 39, Y = 40, Z = 28), left parahippocampal gyrus (IPHG; X = -27, Y = -40, Z = -14), and right parahippocampal gyrus (rPHG; X = 27, Y = -40, Z = -14).

## Statistical analyses

A linear mixed-effects model, with condition (GHB vs. placebo) as within-subject factor and subject ID as random effect was employed on R (RStudio Version 1.0.136; RStudio, Inc.) for the

analyses of the sleep variables (R-package "Ime4," Version 1.1–15). For all applied models, normal Q-Q plots were applied, demonstrating normality of the residuals. Moreover, the assumption of homoscedasticity and linearity was verified using a Tukey-Anscombe (residuals vs. fitted) plot. Furthermore, autocorrelation plots did not reveal any autocorrelation among the residuals. Pvalues of post-hoc tests (R-package "emmeans," Version 1.2.1) were corrected for multiple comparison using Benjamini-Hochberg correction of the false discovery rate [36]. Spectral data were statistically analyzed with a linear mixed-effects model (R-package "nlme;" Version 3.1), while controlling for inter-frequency bin correlations, by an autoregressive moving average model and comparing bin-wise with general linear hypothesis tests. Statistical differences in CSD between the conditions were calculated using a nonparametric mapping approach as implemented in the eLORETA software [37]. A voxel-by-voxel-dependent t-test was used, whereby the contrast between the conditions for all frequency bands were calculated separately. Corrections for multiple comparisons were performed across voxels and frequency bands, applying a randomization strategy with 5000 permutations. Moreover, statistical regularization (variance smoothing parameter for t-statistic at 5%) was applied.

Statistical differences in LPS between the conditions were calculated using a nonparametric mapping approach (as implemented in the eLORETA software) [37]. A paired *t*-test was used, calculating the contrasts between the conditions for all frequency bands. Corrections for multiple comparisons were performed across ROIs and frequency bands, applying a randomization strategy with 5000 permutations.

## **RESULTS**

Sleep-wake diary and actigraphy

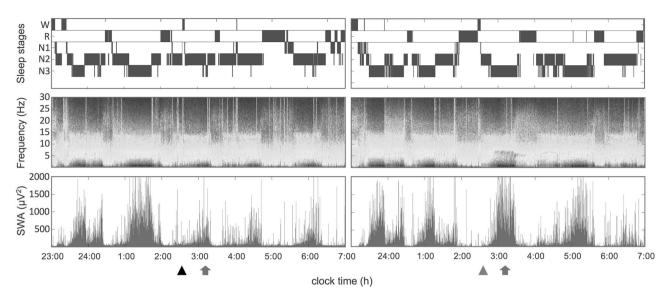
The data reported in the sleep diaries during the 2 weeks before the first experimental night and the week between the two experimental blocks revealed mean bedtime at  $23:34\pm34$  min, mean wake-up time at  $7:25\pm27$  min, and a mean sleep duration of  $472\pm21$  min. The analyses of the actigraphic recordings led to comparable results (Bedtime:  $23:30\pm27$  min; Wake-up time:  $7:30\pm20$  min; sleep duration  $480\pm12$  min).

## Sleep variables

GHB robustly prolonged N3 sleep and NREM sleep, and shortened REM sleep when compared with placebo (Fig. 2). Although these effects were significant when the entire night was considered (all p < 0.05), they were even more pronounced when the analysis was restricted to the second half of the night (all p < 0.001; Supplementary Table S1). No differences (p > 0.05) between GHB and placebo were observed for sleep efficiency, the durations of TST, duration of N1 and N2 sleep, and wakefulness.

# EEG power spectra

Sleep architecture and the evolution of EEG power between 0.5 and 30 Hz, as well as EEG SWA (power in the 0.75-4.5 Hz range) in a representative individual in the placebo and GHB conditions are plotted in Fig. 1. Reflecting the declining trend in sleep intensity across the sleep episode, SWA in the second half of the night is drastically reduced compared with the first half of the night in the placebo condition. Although the volunteer returned to sleep almost immediately following both GHB and placebo administration, a prominent GHB-induced increase in SWA in NREM sleep episodes 3 (at around 03:00) and 4 (at around 05:00) is clearly apparent. On the group level, the compound increased EEG activity in virtually all bins of delta/theta (0.5-8 Hz) frequencies in stages N2 and N3, as well as when these stages were analyzed together (Fig. 3). In N2 sleep and combined stages N2 and N3 (NREM sleep), GHB also reduced power in the frequency range of sleep spindles (13-15 Hz). In REM sleep, the differences between



**Fig. 1** Hypnogram (top row), time frequency analysis (middle row), and course of slow-wave activity (bottom row; SWA, power density within 0.75–4.5 Hz; C3A2 derivation) of a single subject (N=1) after intake of a placebo (left panel) and GHB (right panel). The triangles indicate the time point of placebo (black) GHB (red) administration. Arrows indicate time point of EEG segment extraction for eLORETA analysis (CSD and LPS). N1 stage 1 sleep; N2 stage 2 sleep, N3 stage 3 sleep; R, REMS; W, wake

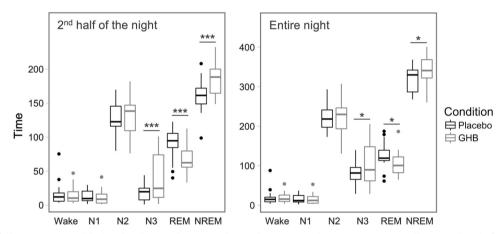


Fig. 2 Duration of sleep stages in black (placebo) and GHB (red) conditions. Boxplots represent visually scored wakefulness and the sleep stages N1–N3 and REM sleep. Horizontal lines indicate median values; boxes extend from the 25th to the 75th percentile; vertical extending lines denote adjacent values (i.e., the most extreme values within 1.5 interquartile range of the 25th and 75th percentile); dots denote observations outside the range of adjacent values. NREM = combined stages N2 and N3 [29]. Entire night: from 23:00 to 07:00. Second half of the night: from 02:30 (time of GHB and placebo intake) to 07:00. Asterisks indicate significant differences between GHB and placebo conditions: \*p < 0.05; \*\*\*\*p < 0.001 (Benjamini–Hochberg corrected post hoc t-tests, n = 20) [9, 27, 69–74]

GHB and placebo were restricted to the <1 Hz and 6–8 Hz bands. The GHB-induced changes in sleep EEG power spectra reflect more intense sleep when compared with placebo.

# Current source density

CSD analysis in the first NREM sleep episode following GHB and placebo intake was performed for the EEG delta, theta, alpha, sigma, and beta ranges (see Fig. 1 for an illustration of time point when the CSD analyses were conducted). These analyses revealed increased CSD in delta (0.5–4.5 Hz) and theta (4.5–8 Hz) ranges following the administration of GHB when compared with placebo. The increase was distributed approximately symmetrically in both hemispheres. In the delta range, the following brain areas were affected by the drug: parahippocampal gyrus, lingual gyrus, fusiform gyrus, PCC, ACC, cuneus, medial frontal gyrus, subcallosal gyrus, rectal gyrus, inferior frontal gyrus, orbital gyrus,

superior frontal gyrus, and middle frontal gyrus (Fig. 4, upper panel). In the theta range, the following brain areas were affected by the drug: medial frontal gyrus, ACC, superior frontal gyrus, orbital gyrus, rectal gyrus, middle frontal gyrus, inferior frontal gyrus, subcallosal gyrus, insula, superior temporal hyrus, middle temporal gyrus, uncus, parahippocampal gyrus, and inferior temporal gyrus (Fig. 4, lower panel). Supplementary Table S2 (Supplementary Material) summarizes the results of the statistical analyses, reporting significantly affected brain regions, the number of significant voxels within the brain regions, Talairach coordinates of the maximal *t*-value, and the corresponding *t*- and *p*-values.

# Lagged-phase synchronization

As illustrated in Fig. 5, functional connectivity (or synchronization) in the theta range (4.5–8 Hz) was significantly increased after GHB

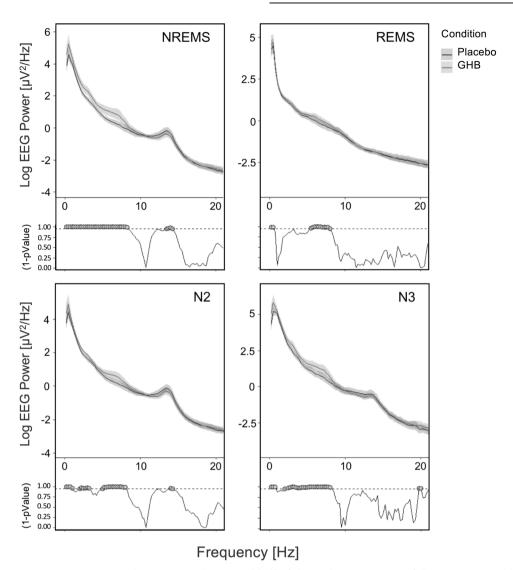


Fig. 3 Absolute EEG power spectra (C3-A2 derivation) in the second half of the night (02:30–07:00) following GHB (red lines) and placebo (black lines) administration. Mean values and confidence intervals (shading) were plotted for NREM sleep (combined stages N2 and N3), REM sleep, and stages N2 and N3 separately. Green dots at the bottom of the panels indicate frequency bins (0.25 Hz resolution), which differed significantly from placebo (p < 0.05, linear mixed-effects model with condition as within-subject factor)

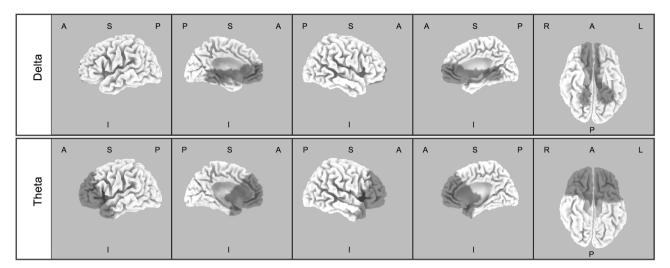
when compared with placebo between ACC and PCC, ACC and IPHG, ACC and rdIPFC, ACC and IdIPFC, IdIPFC and PCC, and between rdIPFC and rPHG. Supplementary Table S3 (Supplementary Material) summarizes the *t*- and *p*-values of the corresponding connections. No significant effects of GHB on functional connectivity were found in the delta, alpha, sigma, or beta ranges (results not shown).

## DISCUSSION

Our study provides evidence that a therapeutic dose of GHB induces a biomimetic enhancement of sleep intensity when administered to healthy adults under low homeostatic sleep pressure. More specifically, 50 mg/kg GHB given at 2:30 a.m., at the time of typical dosing of GHB dose in narcolepsy treatment, increased the duration of deep NREM sleep (N3) at the cost of REM sleep. Furthermore, it enhanced EEG delta and theta activity in NREM and REM sleep, while reducing spindle frequency activity in more superficial N2 sleep. Source localization suggested that GHB augmented delta band activity in NREM sleep especially in the

ACC, in the medio-prefrontal cortex, in both PHG and in the PCC. In the theta band, the increase predominated in the prefrontal cortex, ACC, and both temporal poles. The functional connectivity in this frequency range during GHB-augmented sleep was strengthened among bilateral dIPFC, ACC, PCC, and bilateral PHG. Collectively, the GHB-induced changes in sleep and the sleep EEG resemble the characteristics of recovery sleep after sleep deprivation.

Clinically, GHB is the first-line treatment of excessive daytime sleepiness and cataplexy in narcolepsy type-1 and has recently been shown to be an effective novel treatment option for sleep—wake disturbances in Parkinson's disease [10, 38]. Although it has been suggested that GHB may augment the regenerative functions of sleep [9, 39] and activate similar physiological mechanisms as sleep deprivation [18], the neurophysiological signature of GHB-augmented sleep has not yet been systematically investigated. In fact, the two available studies that examined the effects of GHB on the sleep EEG in healthy volunteers in conditions of either attenuated or enhanced physiological sleep came to different conclusions. Although one



**Fig. 4** Statistical maps depicting the brain regions which differ between GHB and placebo in delta (0.5–4.5 Hz; upper panel) and theta (4.5–8 Hz Hz; lower panel) frequencies in the first NREM sleep episode following GHB and placebo administration (n = 18). Significant log F ratios at p < 0.05 are illustrated in yellow (GHB > placebo) and log F ratios at p < 0.1 are depicted in red (GHB > placebo). Rows from top to down: delta (0.5–4.5 Hz) and theta (4.5–8 Hz). A, anterior; I, inferior; L, left; P, posterior; R, right; S, superior

noted that GHB-induced slow waves are functionally dissimilar to physiological slow waves [15], the other indicated that enhancing slow-wave sleep with GHB reduces the homeostatic response to sleep loss and thus promotes physiological sleep—wake mechanisms [40]. Although in our study no experimental manipulation of homeostatic sleep propensity was performed, the here shown findings support the latter hypothesis.

The prevalence of slow-wave sleep and EEG delta/theta frequencies in NREM sleep decrease in the course of a sleep episode (see Fig. 1) are reduced after a daytime nap and predictably enhanced after extended wakefulness [41]. It is widely accepted that recovery sleep following prolonged wakefulness is physiologically intensified when compared with baseline sleep. Here, at a time of reduced sleep pressure in the second half of a sleep episode, we observed striking similarities between the effects of GHB and those induced by sleep deprivation. Following GHB intake, the duration of deep NREM sleep (stage N3) was increased, whereas REM sleep duration was reduced. Moreover, GHB increased delta and theta activity, and attenuated spindle frequency activity in NREM sleep, and also enhanced theta power in REM sleep. Very similar changes are well established after sleep deprivation [20, 22, 23, 42]. The CSD analysis further corroborated the similarity with the physiological effect and revealed striking topographical overlaps between GHB-modulated and recovery sleep, including increased delta and theta power over frontal recording sites in NREM sleep [22, 43, 44].

On a molecular level, most of GHB's sleep electrophysiological effects can most likely be attributed to low-affinity agonistic binding to GABA<sub>B</sub> receptors [45], and work in mice, rats, and cats suggests that GABA<sub>B</sub> receptors contribute to endogenous sleep oscillations [46-48]. Given the widespread expression of GABA<sub>B</sub> receptors throughout the brain [49], the area-specific increase in delta/theta power may appear astonishing. Nevertheless, GHB may predominantly increase low-frequency power in NREM sleep in brain areas, which are also increased under conditions of elevated homeostatic sleep pressure [22, 43]. On the other hand, although the present study is consistent with experimental and theoretical evidence that agonism at GABA<sub>B</sub> receptors increases deep sleep and EEG delta power [40, 48], it cannot be excluded that also other neurotransmitter systems contribute to the promotion of EEG slow waves by GHB. For example, both GHB and GABA<sub>B</sub> receptors affect the serotonergic system [50], which also contributes to changes in the EEG spectrum, which are similar to those seen after sleep deprivation [51].

Apart from frontal-central sites, GHB enhanced delta activity in the PHG, the fusiform gyrus, and the PCC. The functional connectivity analysis further revealed strengthened LPS among these areas after drug intake. A similar effect was found in a previous study, when investigating the effects of GHB on waking resting state LPS in healthy subjects [52]. Nevertheless, in waking subjects GHB's effects on CSD distinctly differ from those found in this study, indicating that GHB's neurophysiological effects may depend on the subject's state of consciousness.

In vitro studies demonstrated that bath application of GHB to thalamo-cortical neurons hyperpolarized these cells into a voltage range, -65 to -75 mV, at which the rhythmic pacemaker oscillations of the cell membrane exhibits a frequency of 0.5 to 4.0 Hz [53, 54]. A similar hyperpolarization was also observed in hippocampal neurons, which might give a mechanistic explanation for the increased delta power in the PHG [55].

Intriguingly, these structures not only share tight anatomical connections but are also functionally associated in the process of learning, memory encoding, and retrieval [56, 57]. Consequently, damages within these structures—as found in Alzheimer's disease—severely affect learning and memory [58, 59]. Likewise prefrontal atrophy in elderly and Alzheimer's patients was associated with hippocampal-dependent memory impairment and disrupted NREMS [60]. Thus, GHB's ability to induce slow oscillations and strengthen functional connectivity in those brain sites, might underline its clinical potential as regenerative sleepaid in neurodegenerative disorders (for review, see refs. [38, 39]).

GHB not only affected NREM sleep, but also had a significant impact on REM sleep. In accordance with previous research [15, 40], GHB acutely reduced REM sleep and increased EEG delta and theta activity also in this sleep state. Despite its nominal reduction, more than 1 h of REM sleep persisted during the peak phase of pharmacological action, indicating that REM sleep can prevail under elevated GABA<sub>B</sub>-ergic tone, which may favor EEG-defined deep NREM sleep. This finding may reflect the well-known sleep-dependent disinhibition of and high endogenous pressure for REM sleep in the early morning hours, at the time of GHB administration [20, 61, 62]. Nevertheless, even in this condition of high endogenous REM sleep pressure, we found no support for the notion that the stimulation of GABA<sub>B</sub> receptors favors

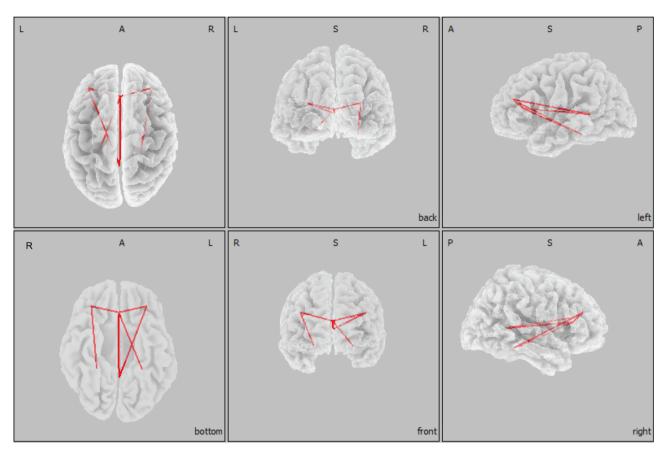


Fig. 5 Region of interest (ROI)-based analysis of LPS in the first NREM sleep episode following GHB and placebo administration (n = 18). Based on the results of the CSD analysis, a network of six cortical brain regions was significantly modulated by GHB in the theta range (4.5–8 Hz). This network included the anterior and posterior cingulate gyri, the left and right dorsolateral prefrontal cortices, and the left and right parahippocampal gyri, which were defined as ROIs. The red lines indicate significantly increased LPS between ROIs after GHB when compared with placebo. A, anterior; I, inferior; L, left; P, posterior; R, right; S, superior

sleep-onset REM sleep episodes that was suggested by previous work [15]. It is likely to be that in the present study, REM sleep was reduced as a result of increased N3 sleep duration, yet an ad libitum sleep opportunity would be required to study the acute and subacute effects of GHB on REM sleep expression.

Similar to the spectral changes in NREM sleep, the increased delta/theta power in REM sleep is reminiscent of the impact of prolonged waking on recovery sleep [43, 44, 63]. These changes may underlie GHB's ability to restore REM sleep functions in neuropsychiatric disorders, including narcolepsy and major depressive disorder [9]. On the other hand, GHB was reported to reduce arousals during REM sleep episodes in healthy volunteers [19]. Future studies should investigate the interaction between GHB and sleep deprivation, to more conclusively tackle the question whether the same mechanisms are activated during GHB-augmented sleep and recovery sleep after prolonged wakefulness. Although comparisons between pharmacologically and physiologically induced alterations of sleep mechanisms must be made with caution, several studies indicate that GHB-augmented sleep not only shows neurophysiological overlaps but also functional similarities with physiological sleep. For example, acute GHB administration spared energy metabolism and, similar to well several wake-promoting neurotransmitter systems [50, 64-68], induced growth hormone release in a similar fashion as natural slow-wave sleep [14], and reduced the consequences of sleep loss on measures of alertness and attention [40]. Intriguingly, even though all participants in the present study were young, healthy, and well rested (8 h sleep), nocturnal GHB promoted morning vigilance as quantified on the psychomotor vigilance task 3 h after awakening (median reaction time:  $297.9 \pm 26.04 \,\text{ms}$  vs.  $290.25 \pm 25.87 \,\text{ms}$ ; n = 20, p < 0.05, Benjamini-Hochberg corrected post-hoc test). Moreover, no detrimental effects on subjective sleepiness and mood were found upon awakening, indicating the absence of pharmacological hangover effects. Taken together, these convergent findings support the view that GHB enhances restorative aspects of sleep rather than just inducing a sedative state. Interestingly, GHB induced qualitatively uniform effects on several neurophysiological parameters across all subjects. Nevertheless, at the same time, high inter-individual differences in the strength of the induced effects were observed. We speculate that both pharmacokinetic and pharmacodynamics differences across subjects may account for this variance, including individual differences in drug metabolism, GABA<sub>B</sub> receptor density, and distribution pattern of GABA<sub>B</sub> receptor isoforms [65]. Further studies are necessary to elucidate the mechanisms underlying the high inter-individual variations.

### Limitations

When interpreting the current study, some limitations should be kept in mind. All study subjects where young healthy men, which limits the generalizability of the results. Moreover, the intervention comprised one single administration, whereas in a clinical setting, chronic administration would be the rule. Thus, based on our findings, long-term GHB effects on sleep neurophysiology are difficult to predict. As the present study did not include a sleep deprivation intervention, further studies employing both sleep deprivation and GHB administration are needed to support the

suggestion of biomimetic sleep enhancement by GHB. Finally, despite LORETA's accuracy in localizing cortical electrical sources, the algorithm is not able to detect subcortical sources. In other words, neural structures that are crucially involved in sleep—wake regulation, including the thalamus, hypothalamus and basal ganglia, cannot be investigated with this method. Thus, it remains unclear whether and how these brain areas contribute to GHB's effects on sleep. Moreover, the anatomical brain model used for the computation of intracerebral CSD values was registered on a digitized average MRI brain of the Talairach and Tournoux atlas. Using individual MRI T1-brain images may have yielded more accurate source estimations.

# CONCLUSION

In the present study, a detailed sleep neurophysiological signature of GHB-augmented sleep under low homeostatic sleep pressure was established. Intriguingly, the GHB-induced changes in sleep architecture, sleep EEG power spectra, CSD, and functional connectivity mimicked many characteristics of physiologically intensified sleep, such as in recovery sleep following sleep deprivation. Thus, GHB's potential to promote physiological sleep mechanisms and its clinical application to consolidate sleep and improve waking quality in neurological and neuropsychiatric disorders should be further investigated.

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## ADDITIONAL INFORMATION

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